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PTO/SB/81 (02-01)

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Application Number	10/071,166
Filing Date	February 8, 2002
First Named Inventor	Richard E. Smalley
Title	Gas-Phase Process for Purifying.
Group Art Unit	1754
Examiner Name	Not yet assigned
Attorney Docket Number	11321-P021US

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Kelly K. Kordzik and James J. Murphy	36,571 and 34,503

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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Daryl S. Boudreaux

Signature

D.S. Boudreaux

Date

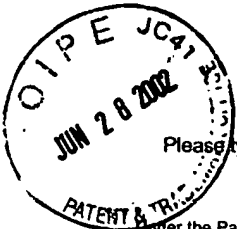
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☒ *Total of 9 forms are submitted.

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Address	1201 Main Street				
City	Dallas	State	Texas	Zip	75250-0784
Country	US				
Telephone	214.745.5400	Fax	214.745.5390		

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SIGNATURE of Applicant or Assignee of Record

Name	Bob G. Gower
Signature	<i>Bob G. Gower</i>
Date	5-6-02

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**STATEMENT UNDER 37 CFR 3.73(b)**COPY OF PAPERS
ORIGINALLY FILEDApplicant/Patent Owner: Smalley, et al.Application No./Patent No.: 10/071,166 Filed/Issue Date: February 8, 2002Entitled: Gas-Phase Process for Purifying Single-Wall Carbon Nanotubes and Compositions ThereofCarbon Nanotechnologies, Inc., a Delaware Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is 9 %

in the patent application/patent identified above by virtue of either:

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[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

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Date

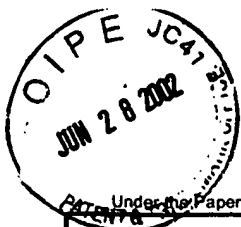
Bob G. Gower

Typed or printed name

Signature

President and Chief Executive Officer

Title



STATEMENT UNDER 37 CFR 3.73(b)

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Applicant/Patent Owner: Smalley, et al.

Application No./Patent No.: 10/071,166 Filed/Issue Date: February 8, 2002

Entitled: Gas-Phase Process for Purifying Single-Wall Carbon Nanotubes and Compositions Thereof

William Marsh Rice University, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.
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in the patent application/patent identified above by virtue of either:

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OR

- B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Richard E. Smalley To: William Marsh Rice University

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2. From: Robert H. Hauge To: William Marsh Rice University

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3. From: Wan-Ting Chiang To: William Marsh Rice University

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☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

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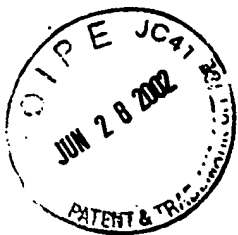
Daryl S. Boudreaux

Typed or printed name

[Signature]
Signature

Director, Office of Technology Transfer

Title



STATEMENT UNDER 37 CFR 3.73(b)
SUPPLEMENT SHEET

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Applicant: Smalley, et al.
Application No: 10/071,166
Filing Date: February 8, 2002
Title: GAS-PHASE PROCESS FOR PURIFYING SINGLE-WALL CARBON NANOTUBES
AND COMPOSITIONS THEREOF
Art Unit: 1754
Assignee: William March Rice University

B. CONTINUED:

4. From Kenneth A. Smith to William March Rice University

A copy of this document is attached.

5. From Wilber Carter Kittrell to William March Rice University

A copy of this document is attached.

6. From: Zhenning Gu to William March Rice University

A copy of this document is attached.

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